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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 1

## **Complete if Known**

Application Number 09/809,768  
Filing Date 03-15-2001  
First Named Inventor Dale Franklin GRAY  
Group Art Unit 2161  
Examiner Name Unassigned  
Attorney Docket Number 57940.000002

## **U.S. PATENT DOCUMENTS**

Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
U1	5,812,988		Sandretto	9-22-1998	
U2	5,864,828		Atkins	01-26-1999	
U3	5,884,287		Edesess	03-16-1999	
U4	5,884,283		Manos	03-16-1999	
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U6	5,918,217		Maggioncalda et al.	06-29-1999	
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U9	6,003,018		Michaud et al.	12-14-1999	
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U12	6,078,903		Kealhofer	06-20-2000	
U13	6,078,904		Rebane	06-20-2000	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	F1		97/39415	WO	Citibank, N.A.	10-23-1997		

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	P1	WILLIAM FALLOON; <i>Patent power: who owns the ideas that drive derivatives?</i> ; Risk; December 1999; pp. 22-24, 26-27; Vol. 12 No. 12; Risk Publications; London, UK.	
	P2	DALE F. GRAY; <i>Assessment of Corporate Sector Value and Vulnerability, Links to Exchange Rate and Financial Crises</i> ; World Bank Technical Paper No. 455; November 1999; The World Bank, Washington, D.C.	

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